

Clinical Colorectal Cancer Genetics for the General Surgeon

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No financial disclosures

Cancer is a Genetic Disease

- Cancer is in essence a genetic disease
- Cancer is not an event, it is a multistep process
- Genetic mutations contribute to, rather than cause cancer
- Alterations in cancer cell DNA
 - ↑ cell proliferation
 - ↓ cell death (apoptosis)
 - Local invasiveness
 - Metastatic spread
- Most cancers result from mutations in somatic cells
 - Sporadic colorectal cancer
- Some cancers result from mutations in germline cells
 - Inherited colorectal cancer (Lynch, FAP, MAP, JPS, PJS)

Colorectal Cancer Genetics

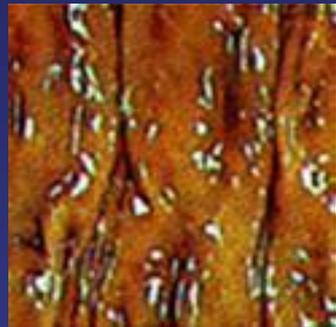
- Pubmed: colorectal AND cancer AND genetics = >10,000 articles in the past 5 years

Enormous topic

Today's talk:

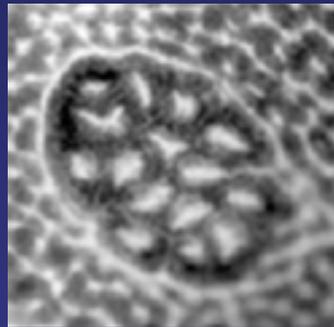
- Selected, clinically relevant aspects of colorectal cancer molecular genetics:
 - Cancer treatment - medical & surgical issues
 - Cancer prognosis
 - Response to therapy
- Genetic emphasis:
 - Microsatellite instability & DNA mismatch repair
 - EGFR & VEGF signaling pathways

The Adenoma to Carcinoma Sequence: Multiple Genetic Alterations



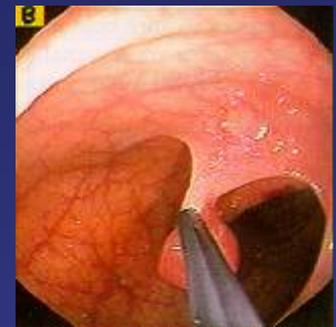
Normal Mucosa

- APC mutation & 5q loss
- MMR-deficiency
- K-Ras or BRAF mutation



Aberrant Crypt Focus

- Global Hypomethylation
- COX-2 overexpression

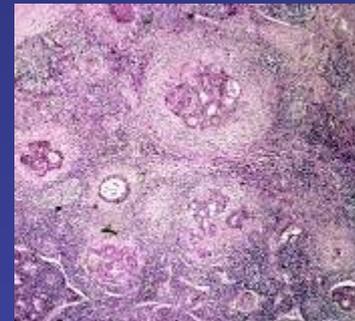


Adenomatous Polyp

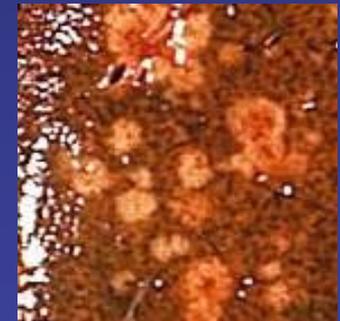
- Loss of 18q SMAD2,4,DCC?
- p53 mutation & 17p loss



Invasive Colorectal Cancer



Lymph Node Metastasis



Distant (Liver) Metastasis

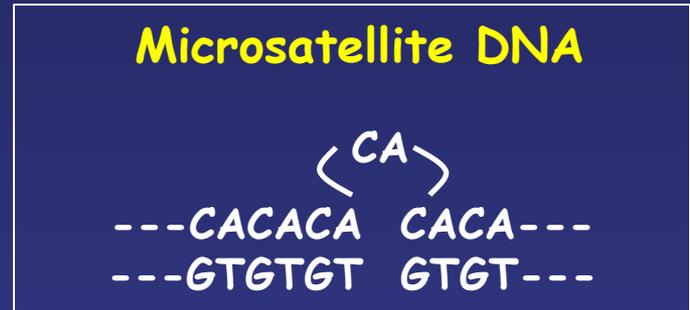
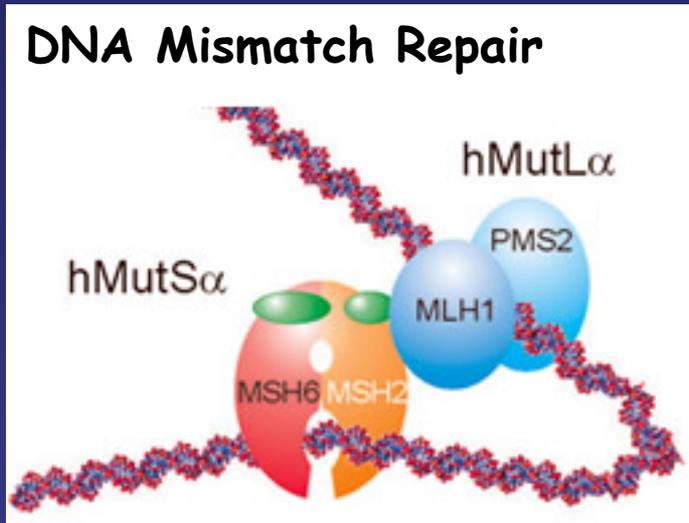
Mutator predisposition pathways → multiple genetic alterations

Microsatellite Instability (MSI) DNA Mismatch Repair (MMR)

Lynch Syndrome & Sporadic Colorectal Cancer



The MSI Mutator Pathway



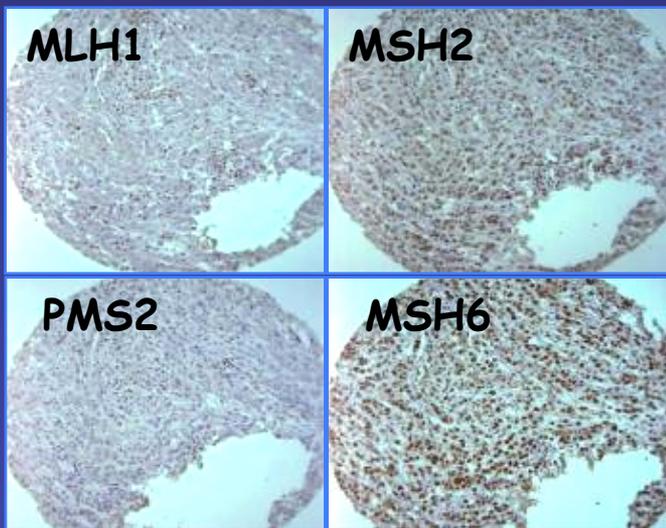
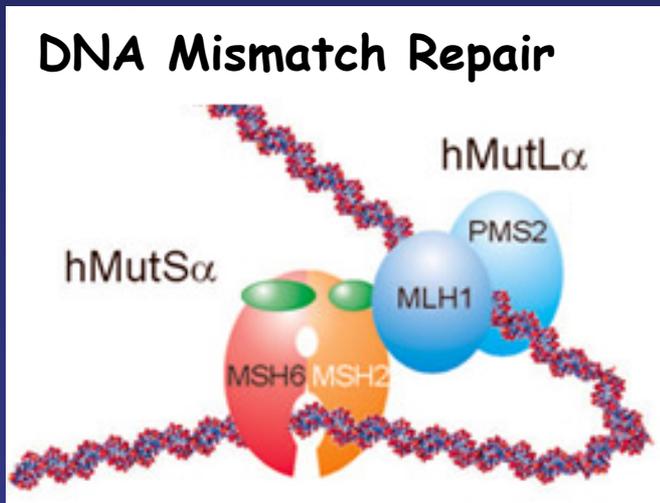
Mismatch repair deficiency

- Loss of function of one MMR gene:
MLH1, MSH2, MSH6, PMS2
- → MSI
- 15% of sporadic colorectal cancer
- Lynch syndrome (2-4%)
- ↑ ↑ specific mutations
(i.e. BRAF, TGFBR2, CTNNB1)

| | <u>MSI</u> | <u>MSS</u> |
|----------------|------------|------------|
| Proximal to SF | 80% | 42% |
| AJCC I/II | 77% | 52% |
| Poor grade | 32% | 6% |
| Mucinous | 30% | 10% |
| Signet ring | 26% | 8% |

all p<0.0001
Yamuchi Gut 2012
1,443 colorectal cancers

MMR Immunohistochemistry



MLH1-deficient
15% of sporadic CRC
45% of Lynch syndrome

MSH2-deficient
45% of Lynch syndrome



PMS2-deficient
<5% of Lynch syndrome

MSH6-deficient
<10% of Lynch syndrome

MMR IHC can help guide genetic testing & clinical management

What is Sporadic MSI Colorectal Cancer?



Normal

- promoter unmethylated
- gene transcribed & translated



Lynch MSI CRC

- MLH1 mutated
- ~45% of Lynch (MSH2, MSH6, PMS2)



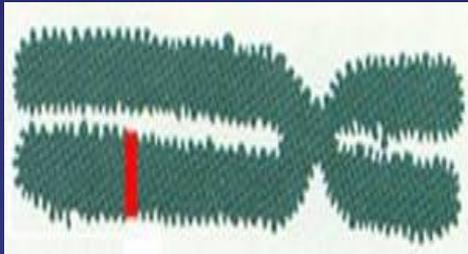
Sporadic MSI CRC

- promoter hypermethylated
- transcription blocked
- ~15% of sporadic CRC

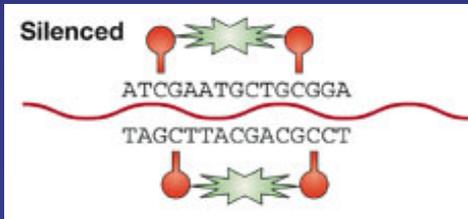
CpG Island Methylator Phenotype

- Epigenetic CIMP pathway
- 20-30% of colorectal cancers
- often older, female, right-sided
- often BRAF mutations

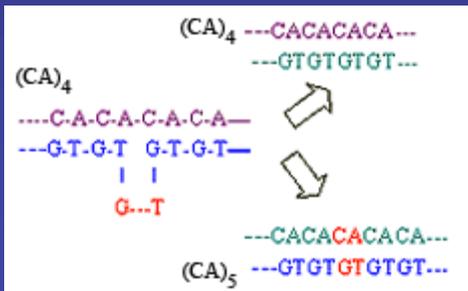
Colorectal Cancer Mutator Pathways



**Chromosomal
Instability Pathway
(CIN)**



**CpG Island
Methylator Pathway
(CIMP)**



**Microsatellite
Instability Pathway
(MSI)**

Sporadic Inherited

CIN/MSS 80% <1%
FAP

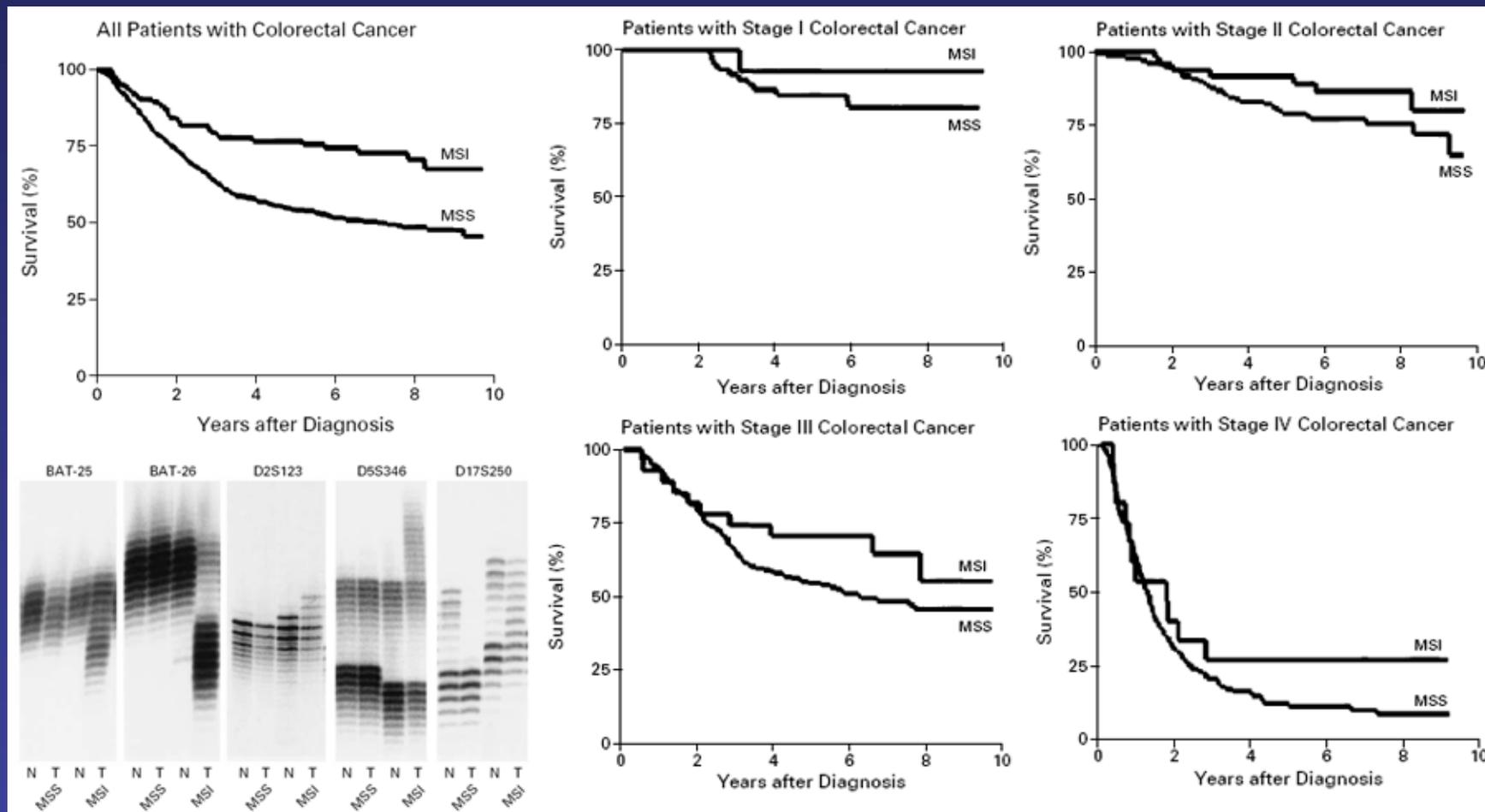
CIN/CIMP 5% not reported

MSI/CIMP 15% rare?

MSI rare 2-4%
Lynch

Clinical Implications of MSI Beyond Lynch Syndrome

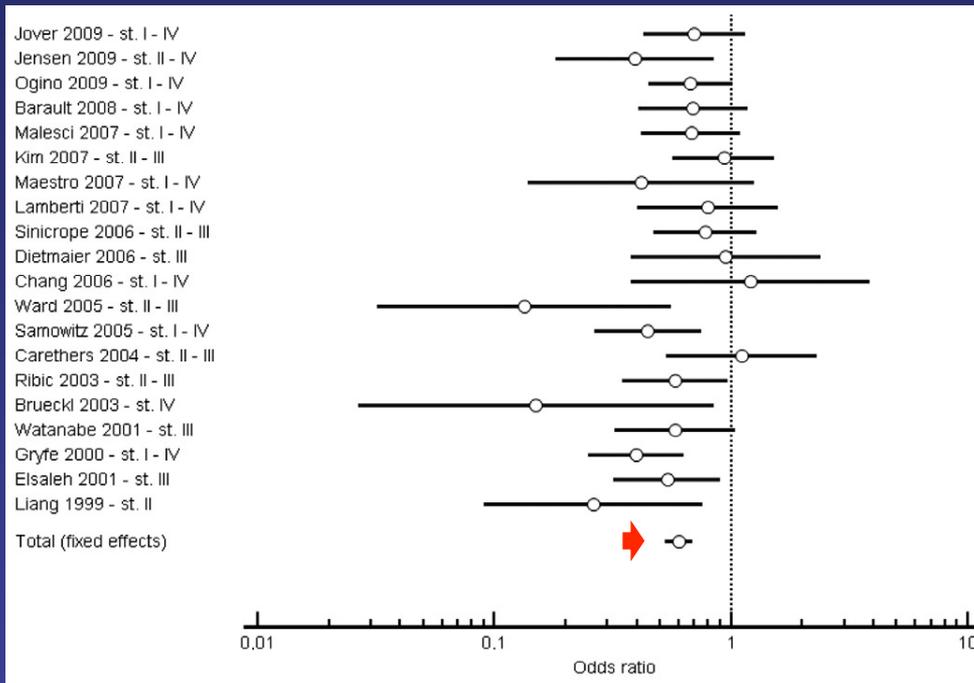
MSI & Colorectal Cancer Survival



Cox Proportional Hazards MSI vs MSS = 0.45 (0.30-0.68), $p < 0.001$

MSI is prognostic of independent, multivariate improved survival

MSI: Colorectal Cancer Prognosis



Guastadisegni EJC 2010

- meta-analysis
- 20 studies
- 9,243 patients

Hazard Ratio

MSI-H vs MSS = 0.60 (0.53-0.69)

- association maintained across cancer stages
- no evidence of:
 - publication bias
 - study heterogeneity

MSI is associated with an improved prognosis in colorectal cancer

Colorectal Cancer Molecular Genetics and Therapy

Why Do We Need Predictive Biomarkers?

| <u>Stage II/III</u> | <u>DFS</u> | <u>OS</u> | |
|------------------------------------|----------------------------|--------------------------------|----------------|
| Surgery alone | 55% | 64% | |
| • 5yr benefit FULV | +12% | + 7% | Gill JCO 2004 |
| • additional 5yr benefit FOLFOX | <u>+ 6%</u> +18% | <u>+ 0-5%</u> +7-17% | Andre JCO 2009 |

82-93% do not benefit from adjuvant chemotherapy

- 55-64% cured by surgery alone & will never benefit
- Toxicity (grade III/IV): FULV >20%, FOLFOX >40%
- ↑ complications & cost with FOLFOX

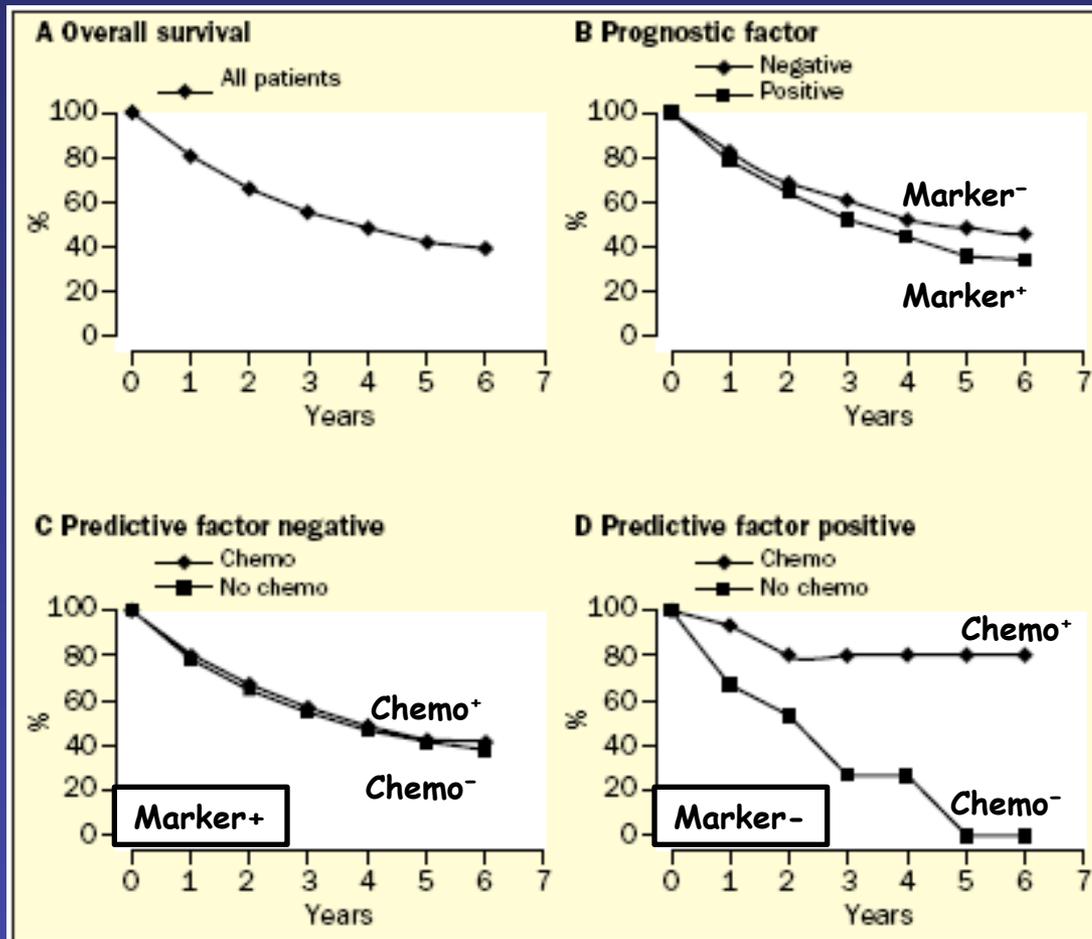
Prognostic & Predictive Biomarkers

Prognostic - marker status is associated with a difference in clinical outcome

- cancer characteristic

Predictive - marker status is associated with a difference in response to treatment

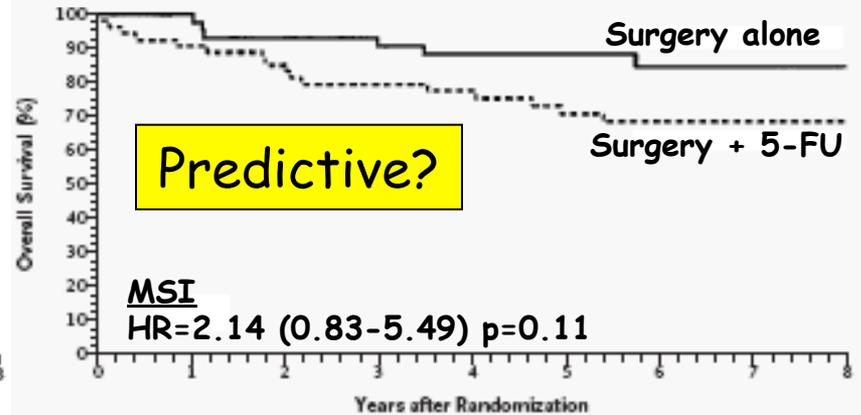
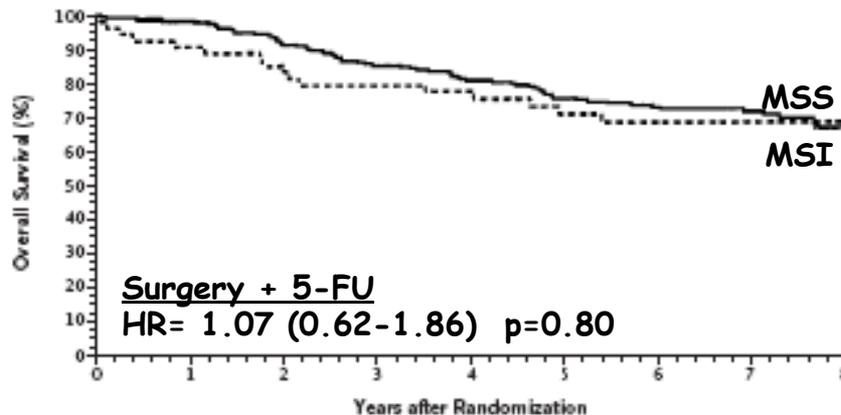
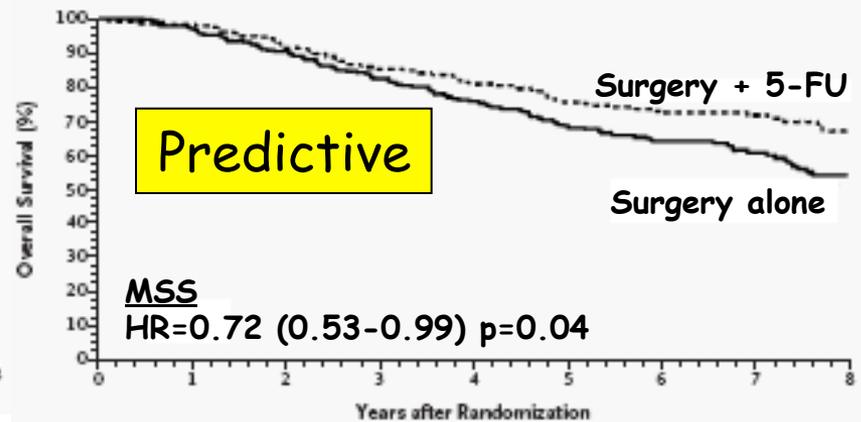
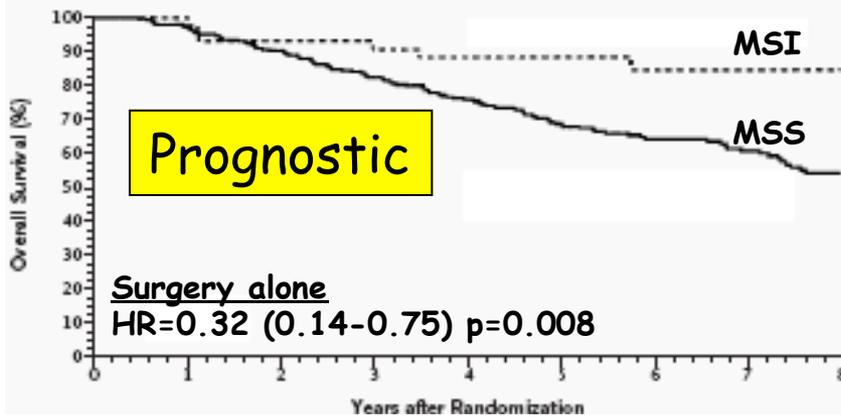
- more complex cancer-treatment characteristic



Predictive Studies:

- Both treated & untreated patients are necessary
- Surgery only arm required to determine which patients benefit from FULV (7-12%)
- Ethical dilemma in an era where FOLFOX is the standard of care (but benefits 7-18% of patients)

MSI & Predicting 5-FU Response



MSS, but not MSI, is predictive of improved survival with adjuvant 5-FU compared to surgery alone

MSI: Predicting 5-FU Response

| Study | Journal | Patients | MSI (%) | Good Prognosis | Predicts 5-FU Benefit |
|-----------------|------------|----------|---------|----------------|-----------------------|
| Sinicrope, 2011 | JNCI | 2,141 | 16 | MSI | MSS/LS MSI** |
| Hutchins, 2011 | JCO | 1,913 | 11 | MSI | No |
| Ohrling, 2010 | Acta Oncol | 1,006 | 16 | No | No** |
| Ribic, 2004 | NEJM | 570 | 17 | MSI | MSS |
| Kim, 2007 | JCO | 542 | 18 | No | No |
| Halling, 1999 | JNCI | 508 | 15 | MSI | No |
| Sargent, 2010 | JCO | 457 | 15 | MSI | MSS |
| Barratt, 2002 | Lancet | 368 | 24 | No | MSS*** |
| Storojeva, 2005 | Onc Rep | 160 | NA | No | No |

* MSS & LS MSI benefit, not sporadic MSI

** Negative 5-FU RCT

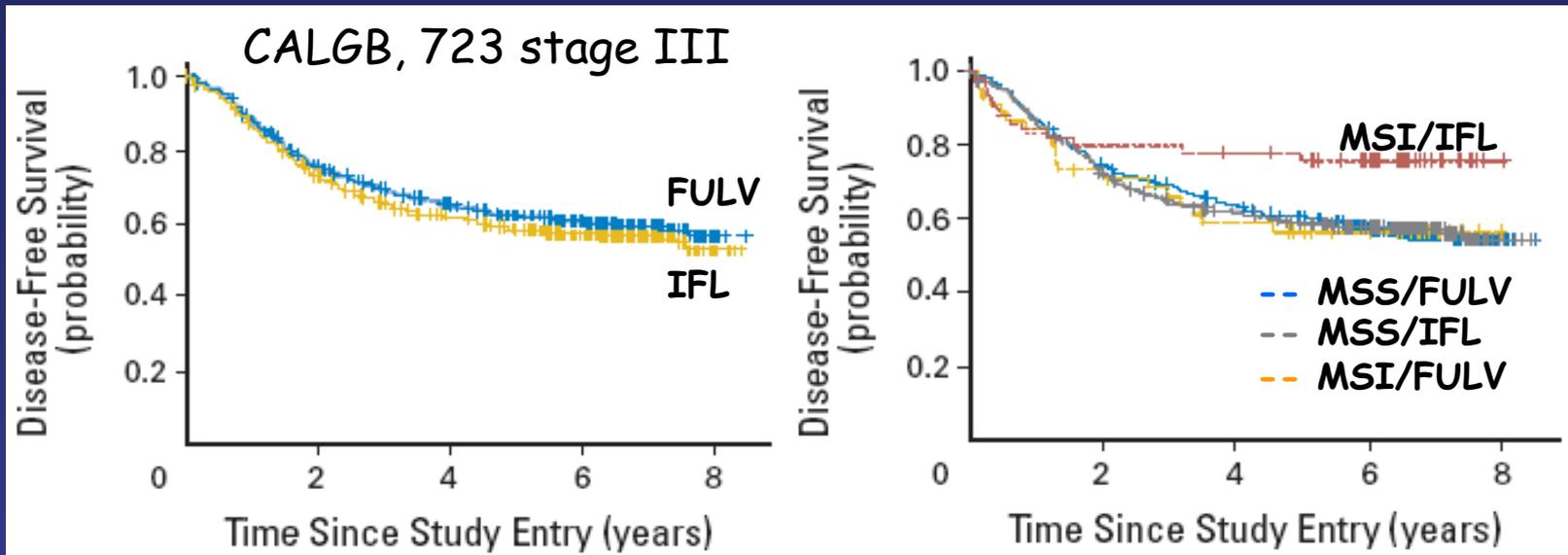
*** trend

MSS predicts 5-FU benefit: 2-4 of 9 RCTs

MSI benefit from 5-FU: 0 of 9 RCTs

Adjuvant FOLFOX not recommended for MSI AJCC II

MSI & Adjuvant Irinotecan?



Bertagnolli, JCO 2009

| MSI-Status | Rx | 5-yr DFS | HR (95% CI) |
|------------|------|----------|--------------------------|
| MSI | FULV | 57% | 0.52 (0.25-1.07), p=0.07 |
| | IFL | 76% | |
| MSS | FULV | 61% | 1.01 (0.79-1.29) |
| | IFL | 59% | |

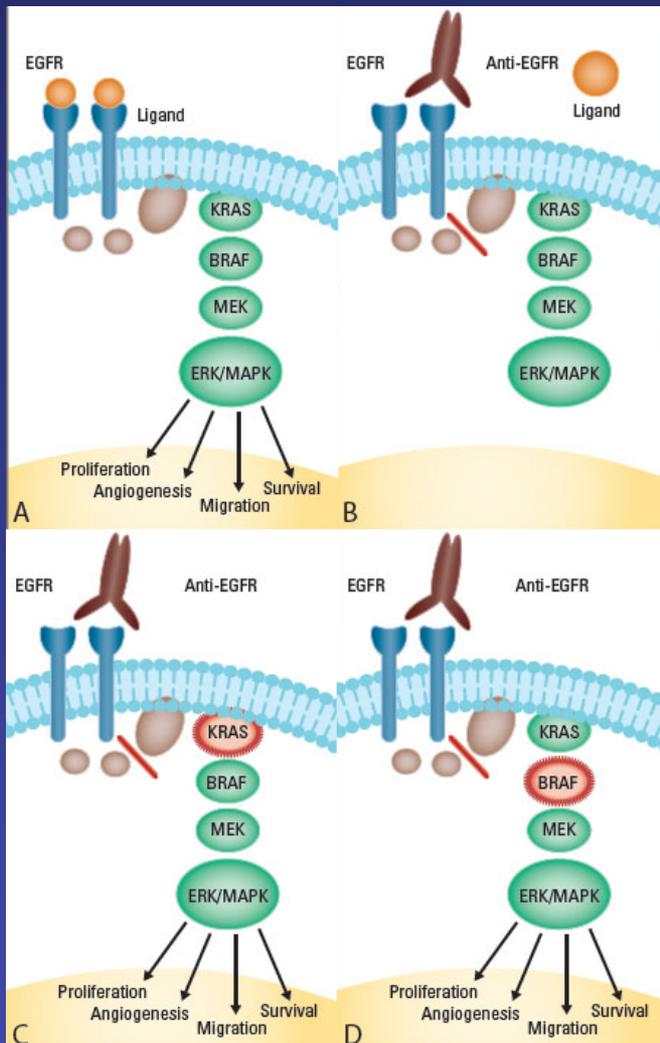
- Suggests that MSI is predictive of improved survival with irinotecan
- Not validated by PETACC-3 RCT of FU vs IFL in 1,254 stage II/III

Tejpar, JCO 2009

Molecular Genetics-based Therapeutics

anti-EGFR therapy
anti-VEGF therapy

EGFR Targeted Colorectal Cancer Therapy: Cetuximab (Erbix) & Panitumumab (Vectibix)



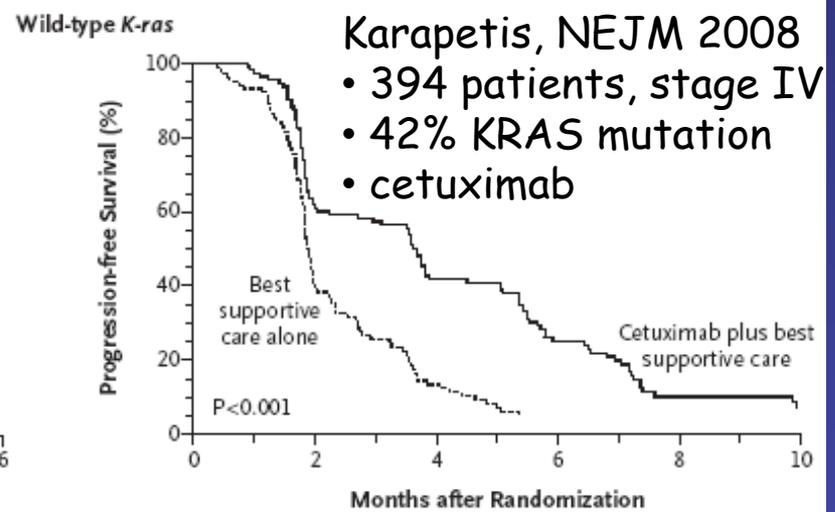
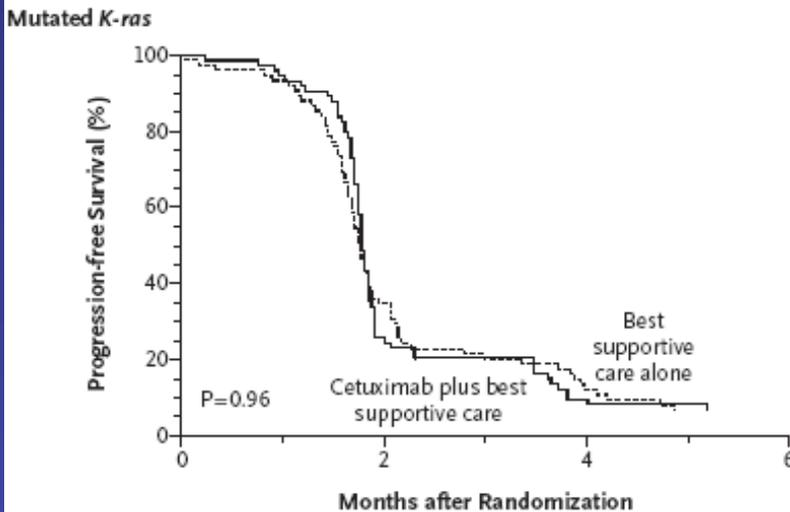
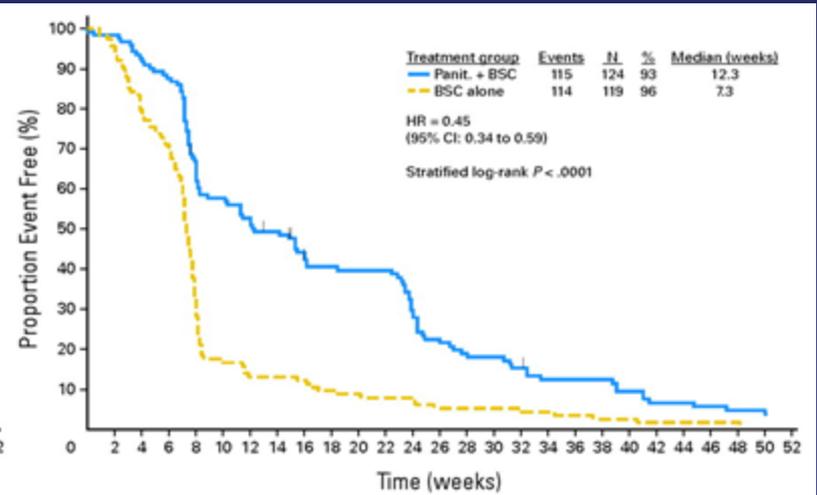
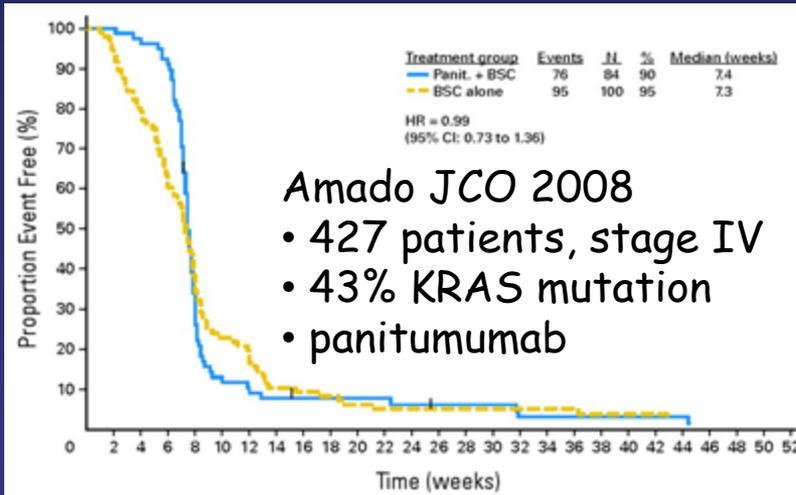
Cetuximab & Panitumumab:

- Anti-EGFR monoclonal antibodies

K-Ras & BRAF:

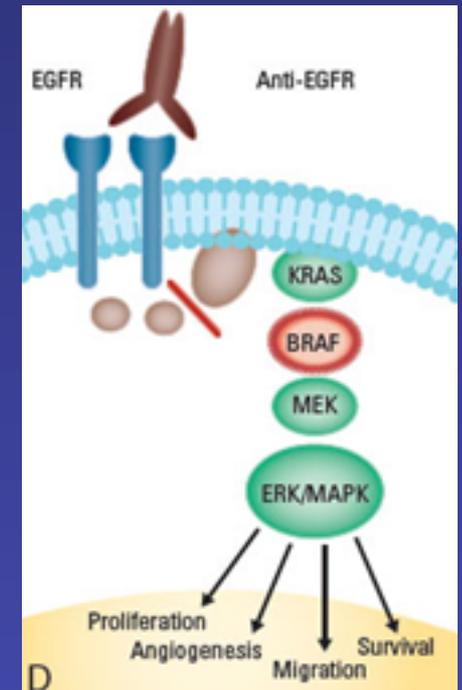
- Oncogenes
- Downstream of EGFR
- Circumvent anti-EGFR therapy
- Activating mutations:
 - KRAS 40% of colorectal cancers
 - BRAF 15% of colorectal cancers

KRAS^{WT}: Predicting Anti-EGFR Response

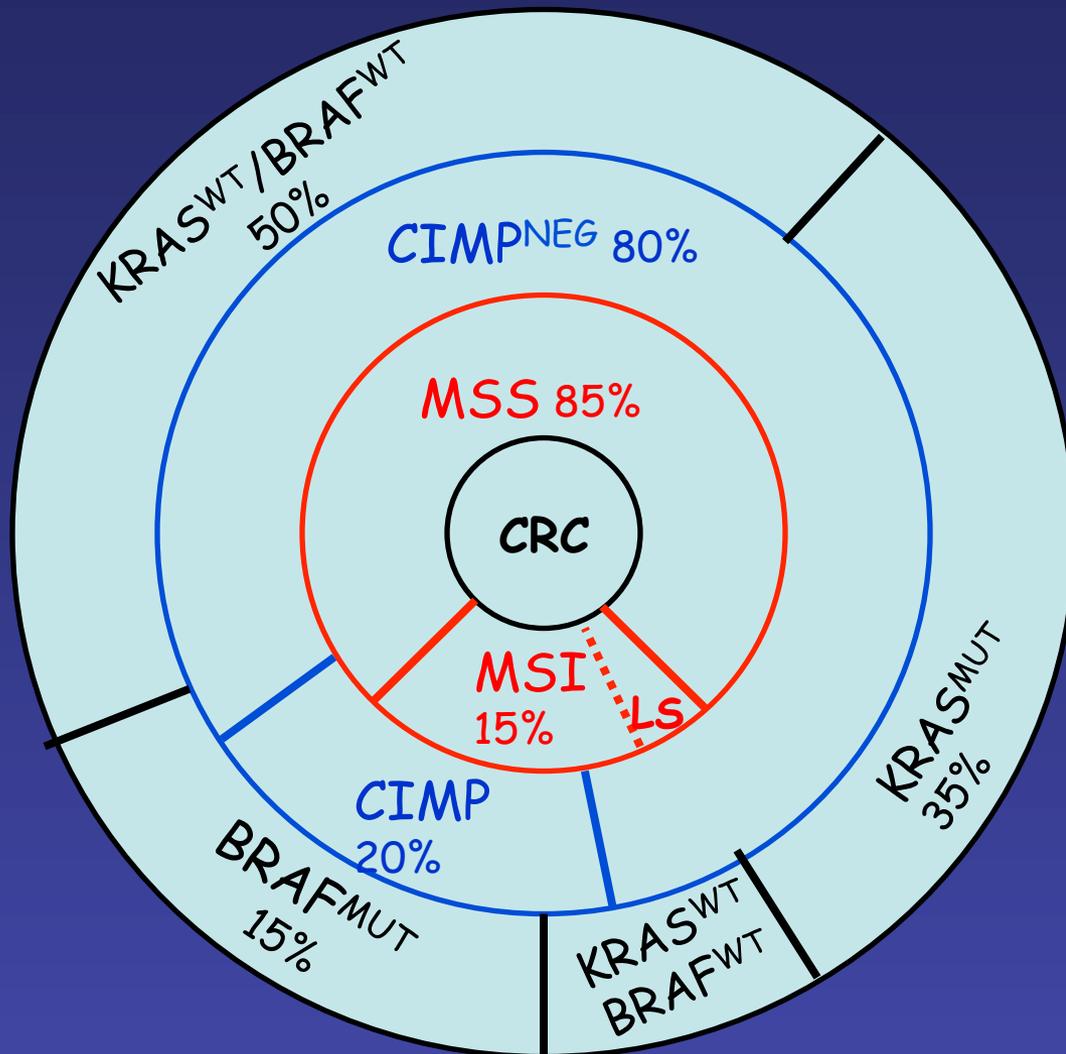


KRAS^{WT} is predictive of improved survival with anti-EGFR palliative therapy

What about BRAF mutation & anti-EGFR therapy?



Complicated interrelationships: MSI, CIMP & EGFR



CIMP (20%):

- 67% MSI (sporadic)
- 33% MSS

BRAF (15%):

- 70% CIMP
- 55% MSI (70% of sporadic)

KRAS (35%):

- 90% CIMP-negative
- 95% MSS
- 5% MSI (35% of LS)
- BRAF & KRAS mutually exclusive

Based on Yamauchi Gut 2012
1,443 colorectal cancers

Significant associations of mutator pathways & somatic mutations

BRAF Mutation and Prognosis

| Study | Journal | RCT | Patients | BRAF (%) | Poor Prognosis |
|-----------------|-----------------|-------------|----------|----------|----------------|
| Hutchins, 2011 | JCO | Adjuvant | 1,584 | 8 | MSS/BRAF |
| Roth, 2009 | JCO | Adjuvant | 1,307 | 8 | MSS/BRAF |
| Ogino, 2011 | Clin Cancer Res | Adjuvant | 506 | 15 | MSS/BRAF |
| Maughan, 2011 | Lancet | Palliative* | 1,269 | 8 | BRAF |
| Van Cutsem 2011 | JCO | Palliative* | 999 | 6 | BRAF |
| Richman 2009 | JCO | Palliative | 711 | 8 | BRAF |
| Tol, 2010 | EJC | Palliative* | 559 | 9 | BRAF |
| Tveit, 2012 | JCO | Palliative* | 498 | 12 | BRAF |
| Price, 2011 | JCO | Palliative | 315 | 11 | BRAF |

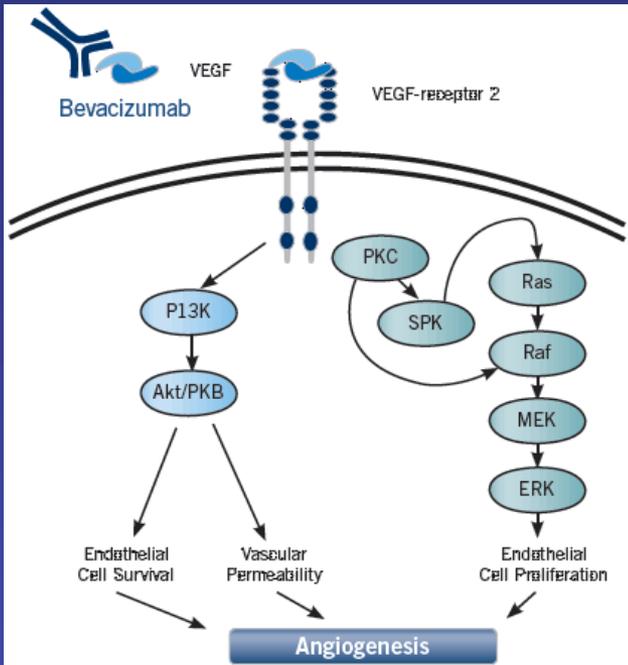
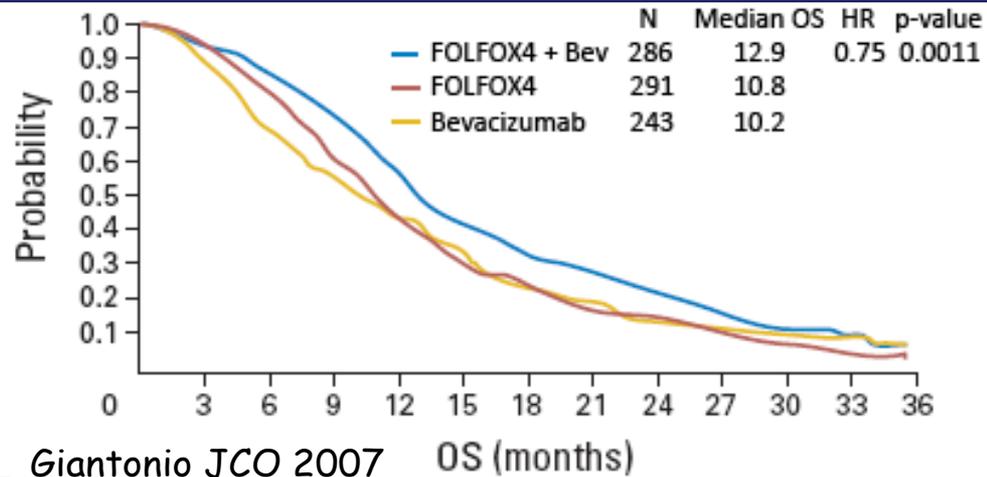
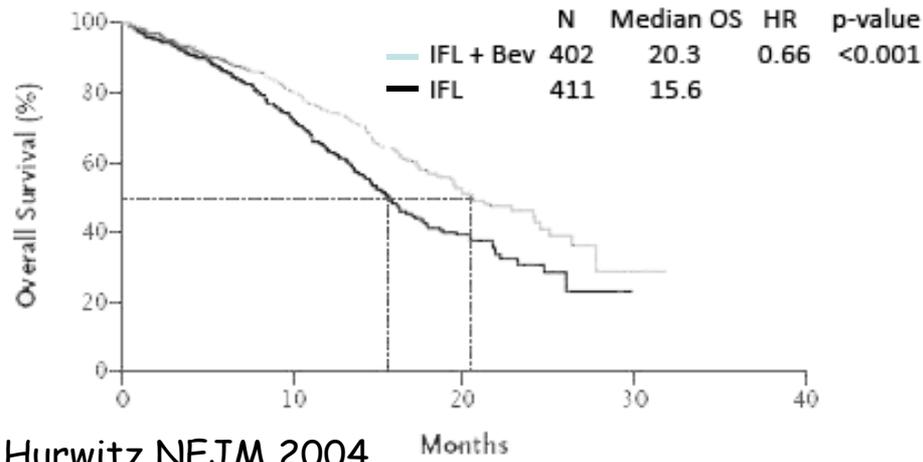
* anti-EGFR RCT

Oncogenic BRAF mutation is associated with poor prognosis

- Poor survival prognosis MSS/BRAF specific
- MSI/BRAF (sporadic MSI) not associated with poor prognosis
- BRAF not predictive of response to therapy, including anti-EGFR

Anti-VEGF, Angiogenesis-inhibition therapy

Bevacizumab in Stage IV CRC



Bevacizumab (Avastin)

- Monoclonal antibody VEGF inhibitor
- Inhibits angiogenesis
- Potentially complicates wound healing

Galfrascoli Dig Liver Dis 2011

- systemic review 6 RCTs, 3,385 stage IV CRC pt's
- OS = 0.80 (0.71-0.91)
- PFS = 0.62 (0.52-0.74)

Bevacizumab and Surgery

Galfrascoli Dig Liver Dis 2011

- HTN (Gr III/IV) = 2.98 (2.32-3.84)
- Bleeding (Gr III/IV) = 2.07 (1.19-3.62)
- GI perforation = 5.04 (1.72-14.79)
- GI perforation = 1-4% in CRC
= 3-11% in ovary
= 1% in others

Manufacturer Warning:

- Half life = 11-50 days
- Do not give Avastin within 28d of surgery
- Hold Avastin at least 28d for elective surgery
- Discontinue Avastin in patient with wound dehiscence or wound healing complications

Grade III / IV wound healing or bleeding complications within 60d postop

- 528/1,132 in phase II/III had surgery

| Time of Surgery | Chemo | Chemo + Avastin |
|---------------------|----------|-----------------|
| <u>Before study</u> | | |
| Surgery | 194 | 230 |
| Complications | (1) 0.5% | (3) 1.3% |
| <u>During study</u> | | |
| Surgery | 29 | 75 |
| Complications | (1) 3.4% | (10) 13.3% |

Scappaticci J Surg Onc 2005

Anti-VEGF molecular therapy ↑ cost & associated with
↑ toxicity & ↑ surgical complication rates

Summary

MMR-deficiency → MSI

- Lynch syndrome & 15% sporadic colorectal cancer
- ↑ prognosis
- MSS, not MSI likely predictive of ↑ 5-FU response
- Sporadic MSI associated with CIMP & BRAF mutation

EGFR signaling

- KRAS mutation in 40% of colorectal cancer circumvents cetuximab / panitumumab anti-EGFR therapy
- MSS/BRAF mutation associated with ↓ prognosis (not MSI/BRAF)

Anti-VEGF angiogenesis inhibitors

- Bevacizumab ↑ palliative prognosis, but associated with spontaneous GI perforations, hemorrhage & ↑ surgical complications